

Emotional processing and its association to somatic symptom change in Emotional Awareness and Expression Therapy for Somatic Symptom Disorder: A preliminary investigation of mechanisms of change

Daniel Maroti¹, Brjánn Ljótsson¹, Mark A. Lumley², Howard Schubiner³, Henrik Hallberg⁴, Per-Åke Olsson⁴, Robert Johansson^{4, 1*}

¹Department of Clinical Neuroscience, Karolinska Institutet, Sweden, ²Department of Psychology, College of Liberal Arts and Sciences, Wayne State University, United States, ³Department of Internal Medicine, University of Michigan, United States, ⁴Department of Psychology, Faculty of Social Sciences, Stockholm University, Sweden

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Conflict of interest statement

The authors declare a potential conflict of interest and state it below

Brjánn Ljótsson is shareholder of Dahlia Qomit AB, a company specializing in online psychiatric symptom assessment, and Hedman-Lagerlöf och Ljótsson Psykologi AB, that licenses a treatment manual for irritable bowel syndrome on a commercial basis. Howard Schubiner is the owner of Mind Body Publishing, a company that sells books written by Dr. Schubiner for patients dealing with mind body symptoms and for professionals who treat such patients.

Author contribution statement

DM and RJ designed the study, with ML in an advisory role. DM wrote the first draft of the manuscript. BL did the statistical analysis. All authors contributed to revising the manuscript and accepting its final version.

Keywords

emotional awareness and expression therapy, emotional processing, Emotional Processing Scale, Functional syndromes, Mediation analysis, Somatic symptom disorder

Abstract

Word count: 180

Objective: The aim of this study was to investigate emotional processing as a potential mediator in therapist-guided, internet-based Emotional Awareness and Expression Therapy (I-EAET) for somatic symptom disorder, using data from a previously published pilot study.

Method: Participants (N=52) engaged in a 9-week I-EAET treatment. Before treatment and each week during treatment (i.e., 10 weekly measurements), emotional processing was assessed with the Emotional Processing Scale-25 (EPS-25), which contains five subscales, and somatic symptoms were assessed with the Patient Health Questionnaire-15 (PHQ-15).

Results: Mediation analyses using linear mixed models showed that two EPS-25 subscales—Signs of Unprocessed Emotions and Impoverished Emotional Experience—were uniquely associated with somatic symptom reduction. The proportion of the mediated effect was 0.49, indicating that about half of the total association of the PHQ-15 with symptoms was accounted for by the two EPS-25 subscales.

Conclusion: This preliminary mediation analysis suggests that improved emotional processing is associated with change in somatic symptoms in I-EAET. However, randomized controlled and comparison trials are needed to establish that I-EAET creates the change in emotional processing and that such changes are specific to I-EAET.

Contribution to the field

Emotional awareness and Expression Therapy (EAET) is a newly developed therapy for patients with chronic somatic symptoms stemming from central sensitization or amplification. EAET proposes that addressing the consequences of trauma or stressful life events by increasing emotional awareness and engaging in emotional processing improve treatment outcomes for patients. However, little is known by what mechanisms EAET has it effects. Using a mediation analysis, we showed in this study that emotional processing as measured by the EPS-25 was related to change in somatic symptoms. More specifically, two subscales Signs of Unprocessed Emotions and Impoverished Emotional Experience, contributed uniquely to somatic symptom reduction. The proportion of the mediated effect was 0.49, indicating that about half of the total effect on somatic symptoms was accounted for by the two EPS-25 subscales. This result indicates that emotional processing might be an important mechanism of change in treatments of somatic symptoms.

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Ethics statements

Studies involving animal subjects

Generated Statement: No animal studies are presented in this manuscript.

Studies involving human subjects

Generated Statement: The studies involving human participants were reviewed and approved by Swedish Ethical Review Authority (Dnr 2019-03317). The patients/participants provided their written informed consent to participate in this study.

Inclusion of identifiable human data

Generated Statement: No potentially identifiable human images or data is presented in this study.

Data availability statement

Generated Statement: The datasets presented in this article are not readily available because participants did not consent to this. Therefore, the dataset is available on reasonable requests as deemed by the principal investigator of the study.. Requests to access the datasets should be directed to Principal Investigator Robert Johansson, robert.johansson@psychology.su.se.



Emotional Processing and its Association to Somatic Symptom Change in Emotional Awareness and Expression Therapy for Somatic Symptom Disorder: A Preliminary Mediation Investigation

- 1 Daniel Maroti¹, Brjánn Ljótsson¹, Mark A. Lumley², Howard Schubiner³, Henrik Hallberg⁴,
- 2 Per-Åke Olsson⁴, & Robert Johansson^{1,4*}
- ³ ¹ Department of Clinical Neuroscience, Division of Psychology, Karolinska Institutet, Stockholm,
- 4 Sweden.
- 5 ² Department of Psychology, Wayne State University, Detroit, MI, United States
- 6 ³ Department of Internal Medicine, Ascension Providence-Providence Hospital, Michigan State
- 7 University College of Human Medicine, Southfield, MI, United States
- 8 ⁴ Department of Psychology, Stockholm University, Stockholm, Sweden
- 9 * Correspondence:
- 10 Robert Johansson
- 11 E-mail: robert.johansson@psychology.su.se
- 12
- 13 Keywords: Emotional Awareness and Expression Therapy, emotional processing, emotional
- 14 processing scale, functional syndromes, mediation analysis, somatic symptom disorder
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20 Abstract

- 21 *Objective*: The aim of this study was to investigate emotional processing as a potential mediator in
- 22 therapist-guided, internet-based Emotional Awareness and Expression Therapy (I-EAET) for somatic
- 23 symptom disorder, using data from a previously published pilot study.
- 24 *Method*: Participants (N=52) engaged in a 9-week I-EAET treatment. Before treatment and each
- 25 week during treatment (i.e., 10 weekly measurements), emotional processing was assessed with the
- 26 Emotional Processing Scale-25 (EPS-25), which contains five subscales, and somatic symptoms were
- assessed with the Patient Health Questionnaire-15 (PHQ-15).

Results: Mediation analyses using linear mixed models showed that two EPS-25 subscales—Signs of Unprocessed Emotions and Impoverished Emotional Experience—were uniquely associated with somatic symptom reduction. The proportion of the mediated effect was 0.49, indicating that about half of the total association of the PHQ-15 with symptoms was accounted for by the two EPS-25 subscales.

33 Conclusion: This preliminary mediation analysis suggests that improved emotional processing is 34 associated with change in somatic symptoms in I-EAET. However, randomized controlled and 35 comparison trials are needed to establish that I-EAET creates the change in emotional processing and 36 that such changes are specific to I-EAET.

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45 **1** Introduction

46 Emotional Awareness and Expression Therapy (EAET) is a newly developed therapy for patients 47 with chronic somatic symptoms stemming from central sensitization or amplification (Lumley and 48 Schubiner, 2019). EAET, which integrates short-term psychodynamic therapy, emotion-focused 49 therapy, and exposure therapy, proposes that addressing the consequences of trauma or stressful life 50 events by increasing emotional awareness and engaging in emotional processing reduces patients' 51 symptoms. EAET has been found to be superior to treatment as usual, education controls, or even 52 CBT in randomized controlled trials in patients with fibromyalgia (Lumley et al., 2017), irritable 53 bowel syndrome (IBS) (Thakur et al., 2017), pelvic pain (Carty et al., 2019), medically unexplained 54 symptoms (Ziadni et al., 2018), and musculoskeletal pain (Yarns et al., 2020).

We have developed an internet-administrated version of EAET (I-EAET) that is self-guided but with 55 therapist support (Maroti et al., 2021). I-EAET includes four components: a) pain neuroscience 56 57 psychoeducation to help patients reattribute symptoms to central nervous system processes; b) the 58 identification of possible connections between stressful life events and somatic symptoms; c) anxiety 59 regulation via daily self-compassion meditations; and d) emotional exposure and processing using 60 expressive writing and being more expressive and assertive in relationships. The emotional exposure 61 component, which targets the processing of suppressed or avoided emotions, is thought to be the key 62 component leading to somatic symptom reduction.

In an uncontrolled pilot trial (Maroti et al., 2021), 52 participants with somatic symptom disorder concurrent with central sensitization engaged in 9 weeks of I-EAET, which included weekly contact with an online therapist, who gave feedback on homework assignments. Within-treatment effect sizes were large for somatic symptom reduction at both post treatment and at 4-month follow up, and the majority of patients (71.2%) achieved at least a minimally clinically significant change in somatic symptoms.

69 Despite EAET's effectiveness, little is known about the mechanisms by which EAET achieve its

70 effects. In theory, emotional processing is a key mechanism (Lumley and Schubiner, 2019), as

71 deficits in emotional processing have been identified in patients with chronic pain and IBS (Baker et

al., 2010; Esteves et al., 2013; Phillips et al., 2013; Gay et al., 2019), and problems in emotional

73 processing have been found to mediate the association between childhood adversity and the

74 development of psychiatric (Chung and Chen, 2017) and somatic symptoms (Mozhgan et al., 2020).

75 Facets of emotional processing, such as emotional differentiation, naming, experiencing, tolerating,

and expression, are believed to be a core mechanism in psychodynamic treatments of certain

conditions (Messer, 2013; Høglend and Hagtvet, 2019). For example, in a study of panic-focused

78 psychodynamic treatment, expressions of sadness /grief lead to a reduction of panic symptoms

79 (Keefe et al., 2019).

80 To investigate emotional processing in our pilot trial of I-EAET, we assessed changes in emotional

81 processing and somatic symptoms before treatment and weekly during treatment. In this paper, we

82 examined whether an increased capacity for emotional processing is related to reduced somatic

83 symptoms during and following I-EAET.

84 2 Methods

85 2.1 Participants

86 The sample consisted of 52 participants (96.2 % female; mean age of 49.6 years) with somatic

87 symptom disorder with centralized symptoms who self-referred for the trial. The most common

somatic condition reported by patients was fibromyalgia (42.3% of patients). The sample had

89 substantial psychiatric comorbidity, with over 80% of the participants having a psychiatric diagnosis.

90 Nearly a third of the patients were on sick leave (30.8%), and two-thirds (n=35) had ongoing

91 pharmacological treatment. A detailed description, including inclusion and exclusion criteria and

92 treatment content is found in (Maroti et al., 2021).

93 2.2 Measures

94 <u>The Emotional Processing Scale (EPS-25)</u> (Baker et al., 2010; Gay et al., 2019) measures five facets

95 of emotional processing (Impoverished Emotional Experience, Signs of Unprocessed Emotion,

96 Avoidance, Suppression, and Unregulated Emotion). Items are rated from 0 (completely disagree) to

97 9 (*completely agree*) and averaged for each subscale. Lower scores indicate less difficulties on each

98 facet of emotional processing. The EPS-25 subscales were analyzed as putative mediators in the

99 present study.

100 The Emotional Processing Scale (EPS) was used to assess emotional processing. This scale has been

101 validated in several studies, is widely used and has been translated to 13 languages (Baker et al.,

102 2010; Orbegozo et al., 2018; Lauriola et al., 2021). It has been found to be sensitive to change

103 following treatment (Baker et al., 2012; Williams et al., 2018).

104 The Patient Health Questionnaire-15 (PHQ-15). To investigate somatic symptoms, the Patient Health 105 Questionaire-15 was employed. The PHQ-15 consists of 15 somatic symptoms that patients' rate not 106 bothered at all (0), bothered a little (1), or bothered a lot (2); ratings are summed for a total score. 107 The PHQ-15 was pre-defined as the trial's primary outcome. PHQ-15 is a well validated 108 questionnaire (Kroenke et al., 2010) with fair to good psychometric properties in a Swedish 109 population (Nordin et al., 2013) and has been found to be a moderately reliable questionnaire for the 110 detection of somatic symptom disorder in the general population (Laferton et al., 2017). Moreover, 111 PHQ-15 can adequately capture disease severity in patients with Fibromyalgia (Häuser et al., 2014) a 112 condition with quite a substantial overlap with SSD (Axelsson et al., 2020). PHQ-15 have also been 113 used as an indicator of treatment effect in several studies (Kroenke et al., 2006; Haggarty et al.,

114 2016).

115 The instruments were administrated before the 9-week treatment and weekly during the treatment;

116 that is, the dataset included 10 weekly measurements of both measures.

117 2.3 Statistical Analyses

118 Mediation analysis investigates the extent to the effect of a predictor variable on an outcome variable 119 (usually treatment effect) is explained by the effect of predictor variable on a third variable, the 120 mediator, which in turn affects the outcome. In the context of the data collected in the present study, 121 the predictor in a mediation analysis is time or week; that is, we expected there to be an effect of 122 treatment week on the outcome variable PHQ-15. Similarly, we expected that there would be an 123 effect of time on the EPS subscales. Finally, we expected that over the 10 assessment points, there 124 would be a relationship between the PHQ-15 and the EPS subscales. The aim of this mediation 125 analysis, therefore, was to determine how much of the per-week improvement on the outcome (PHQ-126 15) was explained by change in the mediators (EPS-subscales) (Baron and Kenny, 1986; Preacher 127 and Hayes, 2008). A stepwise mediation analysis was used. First, we determined the rate of weekly 128 improvement on the outcome, PHQ-15, during the treatment (i.e., the *c*-path). Second, the association 129 between treatment week and each of the mediators (i.e., one *a*-path for each mediator) was

130 investigated. Third, the relationship between each mediator and the PHO-15 (i.e., *b*-path) throughout 131 the treatment period was estimated, controlling for treatment week. This third step was initially 132 performed separately for each mediator by itself as a single mediator analysis and then with all 133 mediators together to form a multiple mediator analysis (Preacher and Hayes, 2008), to investigate 134 each mediator's unique contribution to improvement in somatic symptoms. Lastly, the a and b-path 135 estimate for each mediator (from the single and multiple mediator analyses) were multiplied to form 136 *ab*-products, which is the indirect, or mediated effect (i.e., how much of the effect of treatment week 137 on the outcome that is explained by change in the mediator). We also calculated the proportion of the 138 total effect that was accounted for by the mediators, using the formula ab/c (Preacher and Kelley, 139 2011).

All analyses were performed in R (R Core Team, 2021) and used linear mixed models with random
intercept to account for dependency between the weekly measurements. To determine confidence
intervals for the indirect effects (the ab-products), 5000 bootstrap replications of all analyses were
conducted. Statistically significant mediation meant that the confidence intervals did not contain zero
(Preacher and Hayes, 2008).

145 **3 Results**

Table 1 depicts observed means, standard deviations, and number of observations for outcome and processes over the treatment period. Both PHQ-15 and EPS-25 showed a decreasing trend during treatment, implying a reduction in both somatic symptoms and emotional processing difficulties.

150 [TABLE 1]

151 **3.1 Mediation analysis**

Table 2 shows the results from the single and multiple mediator analyses. The estimated average weekly change on the PHQ-15 was 0.29 (95% CI [0.21, 0.37]). The EPS-25 total score also changed significantly during treatment, with a slope of 0.13 (95% CI [0.10, 0.16]. In the single mediator analysis, all five subscales of the EPS-25 had statistically significant *ab*-products, indicating that change in each EPS-variable was associated with change in PHQ (Table 2, left column). In the multiple mediator analysis however, where the five potential mediators competed in explaining the change in somatic symptoms (PHQ-15), only Signs of Unprocessed Emotions, and Impoverished

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- 159 Emotional Experience subscales were significant (Table 2, right column). The total indirect effect
- 160 (i.e., the sum of the *ab*-products for these two subscales in the multiple mediator model) was 0.15
- 161 [0.09, 0.24]. The proportion of the mediated effect was 0.49 (0.15/0.29), indicating that about half of
- 162 the total effect on the PHQ-15 was accounted for by these two EPS-25 subscales.

163 [TABLE 2]

164 **4 Discussion**

165 This study is one of the first attempts to examine changes in emotional processing in a short-term emotion-focused therapy, I-EAET. We found that a reduction in emotional processing difficulties-166 167 that is, an increased capacity for adaptive emotional processing-was closely related to a reduction in somatic symptoms in patients with somatic symptom disorder who were receiving a 9-week trial of I-168 EAET. Two facets or subscales of emotional processing were specifically and uniquely linked to 169 170 reduced somatic symptoms: an increased capacity to be in contact with and aware of emotions (i.e., 171 reduction in EPS-25 subscale Impoverished Emotional Experience) or not getting stuck or being 172 overwhelmed by intrusive emotions or memories (EPS-25 subscale Signs of unprocessed emotions). 173 This finding underscores the importance of certain emotional processes as potential vehicles of 174 change.

175 The subscale Impoverished Emotional Experience overlaps with the construct alexithymia (Baker et 176 al., 2010). Alexithymia, or difficulties identifying, describing and sharing emotions, is known to be 177 elevated in chronic pain conditions (e.g., migraine, fibromyalgia) and is positively associated with 178 pain intensity and interference (Aaron et al., 2019). Alexithymia has long been considered difficult to 179 treat (Ogrodniczuk et al., 2011; Sifneos, 1973) but recent studies show that it can be reduced 180 (Cameron et al., 2014). Thus, the mediated effect of change in the subscale Impoverished emotional 181 experience on somatic symptoms is both consistent with previous literature and plausible, given that 182 EAET specifically aims to increase emotional awareness. 183

The EPS-25 subscale, Signs of Unprocessed Emotions, reflects emotions or traumatic memories that are not being processed properly but instead are intrusive and fragmented (Ehlers and Clark, 2000).
Because EAET explicitly focuses on emotional exposure and fully processing emotions stemming from stressful life events, it is plausible that changes in this facet of emotional processing occurred during EAET. We propose that this finding is similar to that of treating post-traumatic stress disorder,

189 which also can contribute to a reduction in somatic symptoms and disability (Gupta, 2013).

190 The weekly change in somatic symptoms and emotional processing was quite modest with PHQ-15

191 falling an average of 0.29 points per week, and EPS-25 dropping 0.13 points. However, these weekly

192 reductions sum to yield rather substantial reductions over the course of 9 weeks of therapy. For

193 example, the minimally clinically important difference (MCID) for the PHQ-15 score is a reduction

194 of at least 2.3 points (Toussaint et al., 2017), whereas an increase of only 1 point is predicts a 3%

195 increase in health care use (Toussaint et al., 2017).

196

197 One obvious limitation of this study is that it did not include a control or comparison condition, 198 thereby rendering it difficult to attribute changes in somatic symptoms and emotional processing to 199 the treatment rather than factors such as history or maturation. Randomized controlled trials are 200 needed to obtain greater certainty and specificity. Second, the mediation analysis in this study can 201 establish only a correlation between PHQ-15 and EPS-25 but precludes causal inferences. Although 202 improved emotional processing could reduce symptoms, it also is possible that reduced symptoms 203 permit better emotional processing. However, the weekly measurements of the outcome PHQ-15 204 during the treatment period provide an indication of *potential* treatment effect because it is likely that 205 these weekly changes are to some extent associated with participation in treatment. In line with the 206 same reasoning, weekly changes observed on the EPS-25 subscales during the treatment period are 207 likely be associated with participation in treatment. As EAET aims to improve somatic symptoms by 208 changing emotional processing, an association of change in EPS-25 and change in PHQ-15 as found 209 in this study, is coherent and possibly in line with assumptions of EAET.

Taken together, this study gives preliminary evidence that improvements in emotional processing are related to reductions in somatic symptoms in an internet-administered EAET treatment for patients with centralized persistent physical symptoms.

213 **5 Conflict of Interest**

214 The authors declare the following conflict of interest: Brjánn Ljótsson is shareholder of Dahlia Qomit

215 AB, a company specializing in online psychiatric symptom assessment, and Hedman-Lagerlöf och

216 Ljótsson Psykologi AB, that licenses a treatment manual for irritable bowel syndrome on a

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- 218 books written by Dr. Schubiner for patients dealing with mind body symptoms and for professionals
- 219 who treat such patients.

220 6 Author Contributions

- 221 DM and RJ designed the study, with ML in an advisory role. DM wrote the first draft of the
- 222 manuscript. BL did the statistical analysis. All authors contributed to revising the manuscript and
- 223 accepting its final version.
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230 9 Data Availability Statement

- 231 The datasets presented in this article are not readily available because participants did not consent to
- this. Therefore, the dataset is available on reasonable requests as deemed by the principal investigator
- 233 of the study. Requests to access the datasets should be directed to the Principal Investigator: Robert
- 234 Johansson, robert.johansson@psychology.su.se.

235 **10 Ethics Statement**

- 236 Ethics statement: The studies involving human participants were reviewed and approved by Swedish
- 237 Ethical Review Authority (Dnr 2019-03317). The patients/participants provided their written
- 238 informed consent to participate in this study.

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- 368 Table 1. Observed means, standard deviations, and number of observations for outcome and
- 369 processes over the treatment period.
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Week	0	1	2	3	4	5	6	7	8	9
N	48	52	51	50	46	46	45	45	45	52
PHQ-										
15	13.77	13.4	13.47	12.38	11.76	12.45	11.57	11.76	11.24	10.98
Mean	(3.68)	(3.77)	(3.91)	(3.83)	(4.71)	(3.83)	(4.54)	(4.15)	(4.4)	(4.95)
(SD)										
EPS-										
25	4.23	4.00	4.17	3.63	3.56	3.59	3.31	3.2	3.11	3.02
Mean	(1.6)	(1.7)	(1.74)	(1.93)	(2.08)	(1.86)	(2.06)	(2.02)	(1.92)	(2.14)
(SD)				0						
IEE	3.02	2.65	2.89	2.50	2.26	2.31	2.41	2.08	2.16	1.85
	(2.10)	(1.99)	(2.23)	(2.18)	(2.04)	(2.14)	(2.33)	(1.97)	(2.18)	(2.20)
AVO	3.81	3.84	4.26	3.48	3.49	3.32	3.10	2.94	3.15	2.97
	(1.96)	(2.05)	(2.33)	(2.30)	(2.48)	(2.41)	(2.29)	(2.35)	(2.22)	(2.34)
UNE	5.57	5.34	5.42	4.44	4.69	4.98	4.27	4.47	3.89	3.71
	(2.37)	(2.40)	(2.56)	(2.59)	(2.65)	(2.48)	(2.87)	(2.72)	(2.81)	(2.87)
SUP	4.97	4.72	4.86	4.65	4.04	4.25	3.80	3.58	3.75	3.83
	(2.34)	(2.52)	(2.43)	(2.73)	(2.90)	(2.93)	(2.54)	(2.62)	(2.60)	(2.56)
UNG	3.78	3.47	3.40	3.06	3.32	3.10	2.96	2.93	2.60	2.75
	(1.88)	(1.92)	(2.06)	(2.13)	(2.50)	(2.16)	(2.41)	(2.27)	(1.83)	(2.33)

371

PHQ-15 = Patient Health Questionnaire (PHQ-15), EPS-25 = Emotional processing scale-total,

372 IEE= Impoverished emotional experience, ACO=Avoidance, UNE=Unprocessed emotions,

373 SUP=Suppression, UNG=Unregulated emotions

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- 376 **Table 2**. Indirect effects, *ab*-product, of the five tested mediators of the effect of treatment week on
- the primary outcome measure PHQ-15.

	Singl	e mediator	Multiple mediator		
	a	nalysis	Analysis		
Mediator	ab	95% CI	ab	95% CI	
EPS Impoverished Emotional Experience	0.09*	[0.05, 0.15]	0.054*	[0.03, 0.11]	
EPS Signs of Unprocessed Emotion	0.11*	[0.06, 0.20]	0.068*	[0.03, 0.13]	
EPS Avoidance	0.07*	[0.04, 0.13]	0.0016	[-0.04, 0.03]	
EPS Suppression	0.07*	[0.04, 0.16]	0.012	[-0.01, 0.05]	
EPS Unregulated Emotion	0.07*	[0.04, 0.15]	0.013	[-0.01, 0.05]	
All mediators			0.15*	[0.09, 0.24]	

378 *Statistical significance of indirect effects, *ab*-products, based on their respective bootstrapped 95%

379 CIs not containing zero. Abbreviations: EPS = Emotional Processing Scale – 25 item version. PHQ-

380 15 = Patient Health Questionnaire-15.

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